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Washington, D.C. 20231
BOX PCT


CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Attorney Docket No. : DUMME13.001APC
Applicant(s) : Ayre et al.
For : A ROTARY BLOOD PUMP AND CONTROL
SYSTEM THEREFOR
Attorney : Michael H. Trenholm
"Express Mail"
Mailing Label No. : EL 485607775US
~~EL 483606555US~~
Date of Deposit : October 23, 2001

I hereby certify that the accompanying

Transmittal letter; specification in 76 pages; 39 sheets of drawings; Preliminary
Amendment in 25 pages; International Application as published; International
Application with Amended Claims; International Search Report in 3 pages;
International Examination Report; Check for Filing Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to
Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the
Assistant Commissioner for Patents, Washington, D.C. 20231.



Maria Gomez

Date: October 22, 2001

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC 371**

International Application No.: PCT/AU00/00355
International Filing Date: April 20, 2000
Priority Date Claimed: April 23, 1999
Title of Invention: A ROTARY BLOOD PUMP AND CONTROL SYSTEM THEREFOR
Applicant(s) for DO/EO/US: Peter Joseph Ayre, Geoffrey Douglas Tansley, Peter Andrew Watterson, John Campbell Woodard

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. (X) This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. () This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. (X) This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
4. (X) A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. (X) A copy of the International Application as filed (35 USC 371(c)(2))
 - a) () is transmitted herewith (required only if not transmitted by the International Bureau).
 - b) (X) has been transmitted by the International Bureau.
 - c) () a copy of Form PCT/1B/308 is enclosed.
 - d) () is not required, as the application was filed in the United States Receiving Office (RO/US).
6. () A translation of the International Application into English (35 USC 371(c)(2)).
7. (X) Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
 - a) (X) are transmitted herewith (required only if not transmitted by the International Bureau).
 - b) () have been transmitted by the International Bureau.
 - c) () have not been made; however, the time limit for making such amendments has NOT expired.
 - d) () have not been made and will not be made.
8. () A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. () An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. (X) A copy of the International Preliminary Examination Report with any annexes thereto, such as any amendments made under PCT Article 34.

U.S. Application No.
Unknown

International Application No.
PCT/AU00/00355

00390692 001502

09/980682
Attorney Pocket No.
DUMMER13-001APC
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11. ☐ A translation of the annexes, such as any amendments made under PCT Article 34, to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).
12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or address letter.
17. ☒ International Application as published.
18. ☐ The present application qualifies for small entity status under 37 C.F.R. § 1.27.
19. ☒ International Search Report
20. ☒ A return prepaid postcard.
21. ☒ The following fees are submitted:

				FEES
BASIC FEE				\$1,040
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	96 - 20 =	76 ×	\$18	\$1368
Independent Claims	6 - 3 =	3 ×	\$84	\$252
Multiple dependent claims(s) (if applicable)			\$280	\$0
TOTAL OF ABOVE CALCULATIONS				\$2660
Reduction by 1/2 for filing by small entity (if applicable). Verified Small Entity statement must also be filed. (NOTE 37 CFR 1.9, 1.27, 1.28)				\$0
TOTAL NATIONAL FEE				\$2660
TOTAL FEES ENCLOSED				\$2660
amount to be refunded:				\$0
amount to be charged:				\$0

22. ☒ The fee for later submission of the signed oath or declaration set forth in 37 CFR 1.492(e) will be paid upon submission of the declaration.

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23. (X) A check in the amount of \$2660 to cover the above fees is enclosed.
24. () Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property.
25. (X) The Commissioner is hereby authorized to charge only those additional fees which may be required, now or in the future, to avoid abandonment of the application, or credit any overpayment to Deposit Account No. 11-1410.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Michael H. Trenholm
Reg. No. 37,743
Customer No. 20,995